

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **8013-1040-1****To the Assistant Commissioner of Patents**

Transmitted herewith for filing is the patent application of:

Inventors: **Kunihiro SHIOTA**

This application is a ☐ continuation ☒ division ☐ continuation-in-part of co-pending Application No. **09/836,506**, filed on **April 18, 2001**, the entire contents of which are hereby incorporated by reference.

Title: **TRANSMISSION LIQUID CRYSTAL DISPLAY AND METHOD OF FORMING THE SAME**

Enclosed are:

- ☒ **33** Pages of Specification containing **21** claims
- ☒ **21** Sheets of formal Drawings ☒ Abstract of the Disclosure
- ☐ Submission of English translation of prior provisional application under 37 CFR 1.78(a)(5)
- ☐ Newly executed Oath or Declaration/Power of Attorney
- ☒ Copy of Oath or Declaration/Power of Attorney from a prior application (continuation/divisional)
- ☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Deletion of inventor(s). A signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63 (d)(2) and 1.33(b))
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27
- ☒ Application Data Sheet under 37 C.F.R. § 1.76
- ☐ Assignment Papers (cover sheet & document(s))
- ☒ An assignment to **NEC CORPROATION** for parent application no. **09/836,506** was recorded on **April 18, 2001** at Reel **011726**, Frame **0180-0181**
- ☒ Information Disclosure Statement (IDS) w/PTO-1449 - ☐ Copy of IDS citations
- ☒ Preliminary Amendment
- ☒ Itemized Return Receipt Postcard
- ☐ Certified Copy of Priority Document(s)
- ☒ Priority of **Japan** application No. **2000-117585** filed in **Japan** on **April 19, 2000** is claimed under 35 U.S.C. § 119.
See attached copy of the Letter claiming priority filed in the prior application on **November 30, 2001**.
- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper
- c. ☐ Statement verifying identity of above copies
- ☐ Other Attachment: _____

22276 U.S. PTO

10/675958



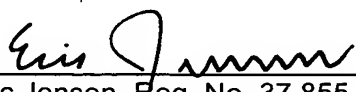
100203

| | |
|--|--|
| UTILITY PATENT APPLICATION TRANSMITTAL (continued) | Attorney Docket No. 8013-1040-1 |
| If a CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of Prior Appln. # 09/836,506 Prior Application Information: Examiner: K. Parker Tech Center: 2871 | |
| <input checked="" type="checkbox"/> --This application is a division of co-pending Application No. 09/836,506, filed on April 18, 2001, the entire contents of which are hereby incorporated by reference.-- <input type="checkbox"/> --This application is a division of co-pending Application No. 09/836,506, filed on April 18, 2001. Application No. 09/836,506 is the national phase of PCT International Application No. PCT/_____/_____, filed on _____ under 35 U.S.C. § 371. The entire contents of each of the above-identified applications are hereby incorporated by reference.-- | |
| CORRESPONDENCE INFORMATION | |
| Customer Number: | 00466 |
| PATENT TRADEMARK OFFICE | |
| Correspondence Address: | Young & Thompson 745 South 23 rd Street, Second Floor Arlington, VA 22202 Telephone (703) 521-2297 Facsimile (703) 979-4709 |

The filing fee has been calculated as follows:

| FOR | NUMBER FILED | NUMBER EXTRA | SMALL ENTITY | | LARGE ENTITY | |
|------------------------------|------------------|--------------|--------------------|----------|--------------|-----------------|
| | | | RATE | FEE | RATE | FEE |
| BASIC FEE | | | | \$385.00 | | \$770.00 |
| TOTAL CLAIMS | <u>21</u> - 20 = | <u>1</u> | \$9.00 | \$_____ | \$18.00 | <u>\$18.00</u> |
| INDEPENDENT CLAIMS | <u>3</u> - 3 = | _____ | \$43.00 | \$_____ | \$86.00 | \$_____ |
| MULTIPLE DEPENDENT CLAIM FEE | | | \$145.00 | \$_____ | \$290.00 | \$_____ |
| TOTAL SMALL ENTITY | | | | \$_____ | | |
| | | | TOTAL LARGE ENTITY | | | <u>\$788.00</u> |

- ☒ A Check in the amount of **788.00** to cover all fees is attached.
- ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.


 Eric Jensen, Reg. No. 37,855
 745 South 23rd Street
 Arlington, VA 22202
 Telephone (703) 521-2297

EJ/maf
 Date: **October 2, 2003**